## REGULAR ARTICLE

# $D_{3h}$  Al<sub>3</sub>N: a novel promising ligand for coordination chemistry

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Abstract Several prior experimental and theoretical studies have been reported on  $\text{Al}_3\text{N}$  and have shown that the  $D_{3h}$  isomer is the global minimum. In this work, we attempt to theoretically design new molecular materials containing the  $D_{3h}$  Al<sub>3</sub>N as unit. A novel series of metal complexes with the Al<sub>3</sub>N ligand, including  $[(A1<sub>3</sub>N)K(A1<sub>3</sub>N)]<sup>+</sup>$  (traditional homo-decked sandwich),  $[(A1_3N)ZnZn(A1_3N)]^{2+}$  (binuclear metallocene), and  $[(\text{Al}_3\text{N})\text{Zn}(\text{C}_5\text{H}_5)]^+$  (hetero-decked sandwich), are predicted to be local minima on their corresponding potential hyper surfaces at the B3LYP, B3PW91, and BP86 levels of theory with the  $6-311+G(d)$  basis set. Natural bond orbital and AOMix analyses indicate that the interaction between the metal ions and the  $Al<sub>3</sub>N$  ligands is

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mostly electrostatic. This fact suggests that the  $Al<sub>3</sub>N$  is a promising ligand for coordination chemistry.

**Keywords**  $\quad$  Al<sub>3</sub>N  $\cdot$  Binuclear metallocenes  $\cdot$ Hetero-decked sandwich compound  $\cdot$  Compound of Zn(I)

## 1 Introduction

Stable cluster species have drawn a great deal of attentions, because the cluster-assembled materials could possess fancy and peculiar properties  $[1, 2]$  $[1, 2]$  $[1, 2]$  $[1, 2]$ . Ideally, these clusters would ultimately retain their structural integrity upon complexation, thereby making them suitable as building blocks in synthesizing new tailor-designed materials [\[3–7](#page-6-0)]. The Jellium model is a theory to provide the simplest approximation for searching stable metallic clusters. In this model, the clusters containing 2, 8, 18, 20… filled valence electrons always have particular stabilities  $[8-11]$ . However, this simple picture is not suitable for small-size clusters we are interested in this study. Aromaticity, which refers to the specific stabilities of cyclic planar molecules, should be a valuable starting point for searching stable small-size clusters, especially since the applications of aromaticity have been extended to the heterosystems, organometallic compounds, and all-metal systems [[12\]](#page-6-0).

Recently, a number of nitrogen-doped aluminum clusters have been reported  $[13-15]$ , among which, Al<sub>3</sub>N has attracted extensive concentrations because its global minimum possesses unique properties: close-shelled electronic configuration, planarity, and high symmetry of  $D_{3h}$ [\[13–19](#page-6-0)]. The  $D_{3h}$  Al<sub>3</sub>N is isoelectronic with  $[A]_3H_3]^{2-}$ which belongs to the family of  $[A1_3R_3]^2$ <sup>-</sup> (R=H, F, and CF<sub>3</sub> and so on), a new family of aromatic ligands for metal coordination complexes [[20,](#page-6-0) [21\]](#page-6-0). The theoretical studies on

this family revealed that these dianions possessed one  $\sigma$ -type and one  $\pi$ -type independent delocalized bonding systems which both satisfied the  $4n + 2$  electron counting rule of aromaticity and could form sandwich complexes containing metals and metal dimers without losing their individual integrity. Thus, these  $[A I_3 R_3]^{2-}$  ions have become the perfect candidates as building blocks for cluster assembly [[21\]](#page-6-0).

For the  $D_{3h}$  Al<sub>3</sub>N species, the central N atom provides three  $sp<sup>2</sup>$  hybrid orbitals to form three inner single bonds with the  $Al<sub>3</sub>$  ring which correspond to the three Al–H bonds in  $[Al_3H_3]^2$ . Also, considering the planarity and high stability of the  $D_{3h}$  Al<sub>3</sub>N, one can speculate that the residual two  $p_z - \pi$  electrons of the N atom delocalize over the whole molecule as in the case of the two excessive electrons in  $[Al_3H_3]^{2-}$ . The similarity of the electronic properties between the  $D_{3h}$  Al<sub>3</sub>N and  $[Al_3H_3]^{2-}$  arouses our interests in exploring the possibility of the  $D_{3h}$  Al<sub>3</sub>N to be a unit for molecular assembly. To the best of our knowledge, no Al3N-based assembled molecular compounds have been reported. In this paper, we considered an important strategy ''sandwiching'', which is probably the most powerful one for assembly and growth of a stable small unit into more complex compounds. Three types of sandwich compound containing the  $A1_3N$  ligands were theoretically constructed.

#### 2 Computational methods

All calculations for geometry optimization and frequency analysis were performed by the B3LYP functional [\[22](#page-6-0), [23](#page-6-0)], which is a widely used and accepted tool for the prediction of ground state molecular properties. Recent studies have shown that the B3LYP is not reliable enough to treat dispersion interactions and to estimate the transition energies for charge transfer electronic-excited state transitions [\[24–27](#page-6-0)]. Thus, comparison calculations with the B3PW91 [\[22](#page-6-0), [28](#page-6-0)] and BP86 [[29,](#page-7-0) [30](#page-7-0)] functionals were performed. For all the cases, the  $6-311+G(d)$  basis set [\[31](#page-7-0)] was used. The geometry parameters obtained from the three methods are very close to each other, especially for those predicted at the B3LYP and B3PW91 levels of theory. The B3LYP results were mainly discussed in the text. The stabilities of the sandwich molecules were examined by the means of fragmentation. The free energy of solvation  $(\Delta G_{\text{solv}})$  of these sandwich species in water and dichloromethane were predicted by the polarizable continuum (PCM) calculations [\[32](#page-7-0), [33\]](#page-7-0) at the B3LYP/6–311+G(d) level of theory. The details of the used PCM model in this study are that: (1) the radii for the spheres centered on the atoms are specified by the UAHF model; (2) the overlap index between two interlocking spheres is set to 0.89; (3) the minimum radius

for the added sphere to smooth the solvent excluding surface is set to  $0.2 \text{ Å}$ . In addition, the reaction energies of the fragmentation reactions were presented where the same solvent model were taken into account. All the calculations were carried out by using Gaussian 03 program [\[34](#page-7-0)]. The bonding patterns of the complexes were explored by the AOMix  $[35, 36]$  $[35, 36]$  $[35, 36]$  and NBO 5.0  $[37]$  $[37]$  packages based on the structures predicted at the B3LYP/6–311+G(d) level of theory.

## 3 Results and discussion

#### 3.1 Evidence of the aromaticity of the  $D_{3h}$  Al<sub>3</sub>N

Analysis of the calculated valence molecular orbitals is an admitted method for understanding aromaticity or antiaromaticity. Figure [1](#page-2-0) shows an orbital correlation diagram of the interactions between the N atom and the  $Al<sub>3</sub>$  ring. The  $\sigma$ -type valence MOs in the  $D_{3h}$  Al<sub>3</sub>N can be divided into three groups: (1) the three lowest-lying occupied bonding orbitals (HOMO-4 and degenerate HOMO-3) mainly consisted of the  $sp^2$  hybrid orbitals of the N atom; (2) the nonbonding orbital (HOMO-1) with dominating contribution of 3s orbitals of the Al atoms; (3) the degenerate antibonding orbitals (HOMO) greatly composed of 3s orbitals of the Al atoms. Obviously, in the  $D_{3h}$  Al<sub>3</sub>N, there are no  $\sigma$ -type MOs delocalizing over the whole molecule. However, in the isoelectronic  $[AI_3H_3]^2$ , there is a a'<sub>1</sub> radial MO which is a delocalized bonding system and results in  $\sigma$ -aromaticity for the  $[Al_3H_3]^2$ <sup>-</sup> [[20,](#page-6-0) [21](#page-6-0)]. This orbital is very similar to HOMO-1 in the  $D_{3h}$  Al<sub>3</sub>N. The inner coordination of the N atom to  $Al<sub>3</sub>$  ring substantially stretches the  $Al<sub>3</sub>$  ring, for instance the calculated Al–Al bond distance in the  $D_{3h}$  Al<sub>3</sub>N of 3.204 Å much longer than those in  $[Al_3H_3]^2$ <sup>-</sup> of 2.522 Å at the B3LYP/6–311+G(d) level of theory, and prevents the radial system (HOMO-1) from delocalizing over the whole  $Al<sub>3</sub>$  ring.

The HOMO-2 orbital of the  $D_{3h}$  Al<sub>3</sub>N is a classic  $\pi$ orbital with 97% contribution from the N  $p<sub>z</sub>$  orbital. As shown in Fig. [1,](#page-2-0) it delocalizes over the whole molecule and displays the typical pattern of a two- $\pi$ -electron, Hückel, aromatic system, indicating that the  $D_{3h}$  Al<sub>3</sub>N exhibits the traditional (organic) aromaticity.

## 3.2 Possibility of the  $D_{3h}$  Al<sub>3</sub>N assembly

It is worth noticing that the  $D_{3h}$  structure is the most stable isomer for Al<sub>3</sub>N. The structural stability and aromatic character of the  $D_{3h}$  Al<sub>3</sub>N make it a potentially stable metal-complexation ligand for organometallic chemistry. However, it is a challenge to obtain  $Al_3N$ -based

<span id="page-2-0"></span>

Fig. 1 The valence orbitals of  $D_{3h}$  Al<sub>3</sub>N, Al<sub>3</sub>, and N (with an isosurface contour value of 0.03) and orbital interaction diagram for the  $D_{3h}$  Al<sub>3</sub>N formed by Al<sub>3</sub> and N

coordination complexes because of the tendency of forming additional Al–Al bond between  $Al_3N$  species during complexation [[38\]](#page-7-0). To resolve this problem, two strategies were proposed as following to protect aluminum atoms from collapsing: (1) separating the ligands far apart from each other by metals with longer atomic radius or metal dimers in the traditional ''homo-decked sandwich'' form (2) using ''hetero-decked sandwich'' scheme.

Traditional homo-decked sandwich compounds, binuclear metallocene, and hetero-decked sandwich compounds were designed. The natural atomic charge on the central N atom of the  $D_{3h}$  Al<sub>3</sub>N is -2.46 e (Table [1](#page-3-0)), implying that the  $Al_3N$  deck should preferentially interact with the metal cations along the threefold axis by ionic interaction. The alkali metal K was selected to assembly the  $D_{3h}$  Al<sub>3</sub>N in the traditional "homo-decked sandwich" growth pattern because of its large ionic radius and electropositivity. The compounds,  $[(A1<sub>3</sub>N)ZnZn(A1<sub>3</sub>N)]<sup>2+</sup>$ and  $[(\text{Al}_3\text{N})\text{Zn}(\text{C}_5\text{H}_5)]^+$ , were constructed as example of binuclear metallocene and hetero-decked sandwich, respectively.

The overviews of the structures and selected bond lengths for the three kinds of designed compounds are depicted in Fig. [2.](#page-4-0) As well, Table [1](#page-3-0) collects the lowest vibrational frequencies  $(v_{\text{min}})$ , natural atomic charges, and selected Wiberg bond indices (WBI) for these compounds. The B3LYP, B3PW91, and BP86 atomic coordinates for all the structures are provided as Electronic Supplementary Material.

# 3.2.1 Homo-decked sandwich compounds based on the  $D_{3h}$  Al<sub>3</sub>N

3.[2](#page-4-0).1.1  $[(Al_3N)K(Al_3N)]^+$  Figure 2 shows the optimized  $D_{3d}$  staggered and  $D_{3h}$  eclipsed  $[(\text{Al}_3\text{N})_2\text{K}]^+$  structures. The two structures have nearly same energies, which suggest that there is a free rotation around the  $C_3$  axis. The flatness of the potential energy surface (PES) with respect to this rotational motion is also fully appreciated by the small values of the lowest normal modes of the  $D_{3d}$  and  $D_{3h}$  [(Al<sub>3</sub>N)<sub>2</sub>K]<sup>+</sup> (Table [1\)](#page-3-0). In the both [(Al<sub>3</sub>N)<sub>2</sub>K]<sup>+</sup> structures, the parallel  $Al_3N$  rings are separated by a distance of  $\sim$  6.1 Å and nearly have the same structure as the isolated  $D_{3h}$  Al<sub>3</sub>N.

The NBO analyses were executed to explore the main feature of the interaction between  $K^+$  and Al<sub>3</sub>N. The WBI of the K–N bond ( $WBI_{K-N} = 0.01$ , Table [1\)](#page-3-0) indicates that the bond between the  $K^+$  and Al<sub>3</sub>N is mainly ionic. The stabilities of the predicted molecules are checked by fragmentation. Table [2](#page-5-0) shows that the dissociation energy for  $[(\text{Al}_3\text{N})_2\text{K}]^+ \rightarrow 2\text{Al}_3\text{N} + \text{K}^+$  is 12.3 kcal/mol. The Gibbs free energy  $(\Delta_{\rm r}G)$  of the fragmentation reaction was also calculated by the B3LYP method at 298 and 223 K, respectively. The value of  $\Delta_r G$  at 298 K ( $\Delta_r G_{298 \text{ K}}$ ) is  $-0.1$  kcal/mol, while the value at 223 K ( $\Delta_{\rm r}$ G<sub>223 K</sub>) increases to  $+2.8$  kcal/mol. This implies that the  $D_{3d}$  $[(\text{Al}_3\text{N})_2\text{K}]^+$  species could only be experimentally observed at low temperature.

3.2.1.2  $[(Al_3N)ZnZn(Al_3N)]^{2+}$  The discovery of the first dimetallocene,  $(\eta^5 - \text{Me}_5\text{C}_5)_{2}\text{Zn}_2$ , must be one of the most exciting recent developments in organometallic chemistry [\[39](#page-7-0)]. Sequentially, the binuclear metallocenes as a novel kind of extended metallocene opened a new set of research targets [\[40](#page-7-0)]. Additional benefit of sandwiching metal dimers is that the spacers between the two cyclotrialane ligand rings could separate the rings apart as far as possible, therefore preventing the aluminum atoms from aggregating.

The  $D_{3d}$  structure of  $[(Al_3N)ZnZn(Al_3N)]^{2+}$  has one imaginary frequency of 1i cm<sup> $-1$ </sup> (Table [1\)](#page-3-0). Schaefer has pointed out that low magnitude imaginary vibrational frequencies are suspected because the numerical integration procedures used in existing DFT methods have significant limitations. Thus, an imaginary vibrational frequency of magnitude  $\langle 100i \text{ cm}^{-1}$  should imply that there is a minimum with energy very similar to that of the stationary point in question. In most cases, we do not follow the eigenvectors corresponding to imaginary frequencies less than 100i  $cm^{-1}$  to search of another mini-mum [[41,](#page-7-0) [42\]](#page-7-0). Therefore, the structure of  $D_{3d}$  $[(A1<sub>3</sub>N)ZnZn(A1<sub>3</sub>N)]<sup>2+</sup>$  could still be identified as a local minimum.

Species	$v_{\rm min}$	$q_{\rm N}$	$q_M$	$WBI_{M-N}$	$WBI_{\text{Zn-Zn}}$
$D_{3h}$ Al <sub>3</sub> N	153	$-2.46$			
$D_{3d}$ [(Al <sub>3</sub> N)K(Al <sub>3</sub> N)] <sup>+</sup>	6	$-2.52$	0.88	0.01	
$D_{3h}$ [(Al <sub>3</sub> N)K(Al <sub>3</sub> N)] <sup>+</sup>	2i	$-2.52$	0.88	0.01	
$D_{3d}$ [(Al <sub>3</sub> N)ZnZn(Al <sub>3</sub> N)] <sup>2+</sup>	1i	$-2.64$	0.83	0.15	0.68
$D_{3h}$ [(Al <sub>3</sub> N)ZnZn(Al <sub>3</sub> N)] <sup>2+</sup>		$-2.64$	0.83	0.15	0.68
$C_s$ [(Al <sub>3</sub> N)Zn(C <sub>5</sub> H <sub>5</sub> )] <sup>+</sup>	13	$-2.59$	1.55	0.26	

<span id="page-3-0"></span>Table 1 Lowest vibrational frequencies  $(\text{cm}^{-1})$ , natural atomic charges (q) of N atoms and sandwiched metal atoms, and Wiberg bond indexes (WBI) of selected bonds of all the complexes studied in the present article, predicted at the  $B3LYP/6-311+G(d)$  level of theory

M sandwiched metals

The difference of energies between  $D_{3d}$  and  $D_{3h}$  [(Al<sub>3</sub>N)ZnZn(Al<sub>3</sub>N)]<sup>2+</sup> conformations is negligible, conformations is negligible, implying that the transfer happening on the rotational path is automatic. Therefore, one of the rotational isomers could be employed to descript the sandwich-type complexes  $[(\text{Al}_3\text{N})\text{ZnZn}(\text{Al}_3\text{N})]^2$ <sup>+</sup>. Here, we only chose  $D_{3d}$  $[(A13N)ZnZn(A13N)]^{2+}$  structure to discuss in terms of geometry and bonding mechanism.

The distance between the two  $Al_3N$  ligands is 6.4 Å, which is long enough to avoid the collapse of the ligands. The optimized  $Zn-Zn$  bond distance of 2.433  $\AA$ , is a bit longer than the ones in other dizinc species. For instance, the Zn–Zn distance in  $Cp^*Zn-ZnCp^*$   $(Cp^*=C_5Me_5)$ obtained by X-ray structure analysis is 2.305  $(\pm 3)$  Å [[39\]](#page-7-0) and the predicted Zn–Zn distances in  $Zn_2X_2$  (X=H, F, Cl, Br, and I) lie in the range of  $2.28-2.42$  Å  $[43-45]$ .

The MO analysis has been done by using the AOMIX program to understand the bonding mechanism in complex  $[(\text{Al}_3\text{N})\text{ZnZn}(\text{Al}_3\text{N})]^2$ <sup>+</sup>. Figure [3a](#page-5-0) shows that the higherenergy occupied orbitals (from HOMO to HOMO-3) are quasi-degenerate with major contribution from the  $e'$  Al<sub>3</sub>N orbitals. Figure [3](#page-5-0)b is the overlap population density of state (OPDOS) plot. In the district of occupied orbitals, two prominent bonding peaks of the Zn–Zn plot are relative to HOMO-6 and 4. The bonding interaction in HOMO-6 and 4 is  $\sigma$ -type with primary contribution from Zn 4s orbitals. A single bond displaying a nearly pure s character between Zn and Zn atoms is also suggested by the  $WBl_{Zn-Zn}$  of 0.70 and the  $sp^{0.04}d^{0.00}$  hybridization of Zn. Although, the Zn–Zn bond distance in  $[(A1<sub>3</sub>N)ZnZn(A1<sub>3</sub>N)]<sup>2+</sup>$  is longer than the one in  $(\eta^5 - \text{Me}_5\text{C}_5)_{2}\text{Zn}_2$ , there still exists a unique unit of  $\text{Zn}_2^{2+}$ . There is nearly no orbital overlap between Zn and Al<sub>[3](#page-5-0)</sub>N (Fig. 3b). The atomic charges of Zn and N are  $+0.83$  and  $-2.64$  e (Table 1), respectively, demonstrating the existence of the ionic bond between metal atom and ligand. Therefore, in the  $[(A1<sub>3</sub>N)Z<sub>n</sub>Z$  $n(Al_3N)^{2+}$ , the metal–ligand bond is ionic bond.

The stability of the  $D_{3d}$  [(Al<sub>3</sub>N)ZnZn(Al<sub>3</sub>N)]<sup>2+</sup> is estimated by the following fragmentation reactions:  $[(Al_3N)ZnZn(Al_3N)]^2^+ \rightarrow [Zn_2(Al_3N)]^2^+ + Al_3N$  and  $[(A1_3N)ZnZn(A1_3N)]^2$ <sup>+</sup>  $\rightarrow$  2[Zn(Al<sub>3</sub>N)]<sup>+</sup>. The dissociation energy  $\Delta E$  is 55.8 kcal/mol for the first reaction and 7.4 kcal/mol for the second (Table [2](#page-5-0)), respectively. The  $\Delta_{\rm r}$ G for the first reaction is substantial. Table [2](#page-5-0) shows that the values of  $\Delta_{r}G$  are 43.0 and 46.2 kcal/mol at temperature 298 and 223 K, respectively. However, the  $\Delta_r G$  values for the second reaction reveal that the process of  $[(\text{Al}_3\text{N})\text{ZnZn}(\text{Al}_3\text{N})]^2$ <sup>+</sup> dissociating into two  $[\text{Zn}(\text{Al}_3\text{N})]^+$ radicals carries on spontaneously when the temperature is higher about  $-170$  °C. Therefore, in the  $[(\text{Al}_3\text{N})\text{ZnZ}$  $n(Al_3N)^{2+}$ , the Zn-NAl<sub>3</sub> bond is much stronger than the Zn–Zn bond. The binuclear metallocene compound is thermodynamically unstable to dissociate to  $[Zn(A_3N)]^+$ radicals. Apparently, on one hand, trapping the  $[(\text{Al}_3\text{N})\text{ZnZn}(\text{Al}_3\text{N})]^2$ <sup>+</sup> in lab is a large challenge. On the other side, the favored dissociation to produce  $[Zn(A_3N)]^+$ radicals suggests the stability of the radicals and the possibility of investigating the radicals. It is interesting to note that the  $[Zn(A_3N)]^+$  radical is a compound of  $Zn(I)$ .

The first example of Zn(I) compound, decamethyldizincocene  $(\eta^5 - \text{Me}_5\text{C}_5)_{2}\text{Zn}_2$ , was formally derived from the dimetallic  $[Zn-Zn]^{2+}$  unit which was stabilized by the ligand of  $[Me<sub>5</sub>C<sub>5</sub>]$ <sup>-</sup>[[39](#page-7-0)]. The dissociation energy of Zn-Zn bond for  $(\eta^5 - \text{Me}_5\text{C}_5)_{2}\text{Zn}_2$  is around 66 kcal/mol [\[46](#page-7-0), [47\]](#page-7-0), which is considerable larger than that of 7.4 kcal/mol for  $[(\text{Al}_3\text{N})\text{ZnZn}(\text{Al}_3\text{N})]^2$ <sup>+</sup>. Thus, the D<sub>3h</sub>  $Al<sub>3</sub>N$  is not an appropriate ligand to form a stable compound of Zn(I) containing Zn–Zn bond. However, the thermodynamic stability of the  $[Zn(A_3N)]^+$  radical, as mentioned above, suggests that  $Al<sub>3</sub>N$  is a potential ligand to stabilize  $\text{Zn}^+$  cation. Moreover, the molecule structure of the  $C_{3v}$  [Zn(Al<sub>3</sub>N)]<sup>+</sup> has no negative force constants. It seems that the  $C_{3v}$  [Zn(Al<sub>3</sub>N)]<sup>+</sup> is a new species of Zn(I) compounds. The atomic coordinates for the  $C_{3v}$  $[Zn(Al_3N)]^+$  are provided as Electronic Supplementary Material.

## 3.2.2 Hetero-decked sandwich compounds based on  $Al_3N$

Hetero-decked "sandwiching" is an effective strategy to incorporate some particular units into assembled molecular materials, especially, the units tending to self-fusion such

<span id="page-4-0"></span>

Fig. 2 Optimized geometries of staggered  $D_{3d}$  and eclipsed  $D_{3h}$  $[(\text{Al}_3\text{N})\text{K}(\text{Al}_3\text{N})]^+$ , staggered  $D_{3d}$  and eclipsed  $D_{3h}$   $[(\text{Al}_3\text{N})\text{ZnZ}^+]$  $n(Al_3N)^{2+}$  and  $C_s$  [(Al<sub>3</sub>N)Zn(C<sub>5</sub>H<sub>5</sub>)]<sup>+</sup>. The selected bond distances predicted by the B3LYP, B3PW91 (italic), and BP86 (in parenthesis) methods are given in  $\AA$ . **a** and **b** are the structures of  $C_s$  $[(\text{Al}_3\text{N})\text{Zn}(\text{C}_5\text{H}_5)]^+$  viewed from different perspectives

as small aluminum rings [[48\]](#page-7-0). In this paper, the scheme for assembly of Al<sub>3</sub>N unit in  $[(Al_3N)Zn(C_5H_5)]^+$  was utilized.

As shown in Table [1](#page-3-0) and Fig. 2, the optimized molecular structure of  $[(\text{Al}_3\text{N})\text{Zn}(\text{C}_5\text{H}_5)]^+$  has no negative force constants. The bond length of Zn-N in  $[(\text{Al}_3\text{N})\text{Zn}(\text{C}_5\text{H}_5)]^+$ is 1.984 Å which is close to the one in  $[(Al_3N)ZnZ$  $n(Al_3N)$ <sup>2+</sup>. The natural atomic charges on the N atom, Zn atom, and Cp units are  $-2.59, +1.55,$  and  $-0.70$  e, respectively, which suggest that there are strong electronic interactions between Zn and the two ligands. Do covalent bonding interactions exist to bind the ligands to Zn metal in the  $[(\text{Al}_3\text{N})\text{Zn}(\text{C}_5\text{H}_5)]^+$ ? The OPDOS plot (Fig. [4](#page-5-0)) help to answer this question. It is shown in Fig. [4](#page-5-0) that the bonding interaction peak of the Zn–Cp plot locates at the degenerate HOMO-2 and 3 orbitals which are consist of the  $e_1''$   $\pi$ -C<sub>5</sub>H<sub>5</sub> orbitals (more than 95%) and the 4p orbitals of Zn. The bonding interaction between the Cp unit and Zn atom could be described as a  $\pi$  back-donation from the ligand to the central metal. When it comes to the Zn–NAl3 plot, there are no clear bonding peaks, indicating that the interaction between  $Zn$  and  $Al<sub>3</sub>N$  is a typical ionic bonding. Therefore, the electrostatic interactions between Zn and Cp unit as well as Zn and Al<sub>3</sub>N ligand are the principal impetus to stabilize the  $[(\text{Al}_3\text{N})\text{Zn}(\text{C}_5\text{H}_5)]^+$ , and the  $\pi$  back-donation between Zn and Cp unit also support the stabilizing.

Additional clue of the stability of the hetero-decked assembled compound  $[(\text{Al}_3\text{N})\text{Zn}(\text{C}_5\text{H}_5)]^+$  comes from the thermodynamic data of the fragmentation,  $[(\text{Al}_3\text{N})\text{Zn}]$  $(C_5H_5)'^+ \rightarrow (C_5H_5)^- + Zn^{2+} + Al_3N$ . The  $\Delta E$  and  $\Delta_{\rm r}G_{\rm 298~K}$  of the dissociation are 493.6 and 473.3 kcal/mol, respectively, implying the strong thermodynamical feasibility of the reverse synthesis reaction.

# 3.3 Solvent effects of the three sandwich species in water and dichloromethane

Because the complexes of the  $D_{3d}$  [(Al<sub>3</sub>N)K(Al<sub>3</sub>N)]<sup>+</sup>,  $D_{3d}$  [(Al<sub>3</sub>N)ZnZn(Al<sub>3</sub>N)]<sup>2+</sup> and  $C_s$  [(Al<sub>3</sub>N)Zn(C<sub>5</sub>H<sub>5</sub>)]<sup>+</sup> are ionic species it would be good to test the effect of including a solvent model. For an example, the solvent effects of the three sandwich species in water and dichloromethane have been investigated by using the PCM model at the B3LYP/  $6-311+G^*$  level of theory in terms of the free energy of solvation ( $\Delta G_{\text{solv}}$ ), which is the energy of transferring a molecule from the gas phase into solution, composed of three contributions: cavitation ( $\Delta G_{\rm cav}$ ), van der Waals term  $(\Delta G_{vw})$ , and electrostatic  $(\Delta G_{elec})$  free energies.

$$
\Delta G_{\rm solv} = \Delta G_{\rm cav} + \Delta G_{\rm vw} + \Delta G_{\rm elec}
$$

As shown in Table [3,](#page-6-0) the PCM calculations give surprisingly large values of free energies of solvation, which means the three ions could easily transfer from gas phase into water and dichloromethane. For all the three species, the  $\Delta G_{\text{elec}}$  is the chief component to the  $\Delta G_{\text{solv}}$ , implying that electrostatic interactions play an essential role in the solvation of the sandwich ionic species in the both solvents. The results might provide a reference to further explore these ionic species in solvents.

The solvent effects on the Gibbs free energies of the dissociate reactions for the ionic species in water and

<span id="page-5-0"></span>Table 2 Reaction heat ( $\Delta E$ , in kcal/mol), Gibbs free energy of reaction ( $\Delta<sub>r</sub>G$ , in kcal/mol), and Gibbs free energy of reaction in water and dichloromethane at 298 K ( $\Delta G_{298K}^{solv}$ , in kcal/mol) predicted at the B3LYP/6–311+G(d) level of theory

Dissociation reaction	$\Delta E$	$\Delta_{r}G_{298K}$	$\Delta_{r}G^{a}$	$\Delta_{\rm r} G^{\rm solv}_{\rm 298K}$	
				H <sub>2</sub> O	CH <sub>2</sub> Cl <sub>2</sub>
$[(Al_3N)_2K]^+ \rightarrow 2Al_3N + K^+$	12.3	$-0.1$	2.8	$-25.1$	$-28.5$
$[(\text{Al}_3\text{N})\text{ZnZn}(\text{Al}_3\text{N})]^2$ <sup>+</sup> $\rightarrow$ $[\text{Zn}_2(\text{Al}_3\text{N})]^2$ <sup>+</sup> + Al <sub>3</sub> N	55.8	43.0	46.2	$-6.7$	$-4.4$
$[(\text{Al}_3\text{N})\text{Zn}\text{Zn}(\text{Al}_3\text{N})]^2^+ \rightarrow 2 [ \text{Zn}(\text{Al}_3\text{N})]^+$	7.4	$-6.8$	2.6	38.6	21.1
$[(\text{Al}_3\text{N})\text{Zn}(\text{C}_5\text{H}_5)]^+ \rightarrow (\text{C}_5\text{H}_5)^- + \text{Zn}^{2+} + \text{Al}_3\text{N}$	493.6	473.3	477.9	65.1	155.5

<sup>a</sup> The Gibbs free energies were predicted at 223 K, except for the reaction  $[(A1_3N)ZnZn(A1_3N)]^{2+} \rightarrow 2 [Zn(A1_3N)]^{+}$  which was predicted at 103 K



Fig. 3 a Density-of-states (DOS) spectrum and b overlap population density-of-states (OPDS) plot of  $D_{3d}$  [(Al<sub>3</sub>N)ZnZn(Al<sub>3</sub>N)]<sup>2+</sup> determined at the B3LYP/6–311+G(d) lever of theory. The *insets* display 3-D representations of the HOMO-4 and 6 with an isosurface contour value of 0.03

dichloromethane have also been considered. The two solvents have similar impact on the Gibbs free energy changes of the dissociate reactions. Table 2 shows that the solvent



Fig. 4 Overlap population density-of-states (OPDS) plot of  $C_s$  $[(\text{Al}_3\text{N})\text{Zn}(\text{C}_5\text{H}_5)]^+$  determined at the B3LYP/6–311+G(d) lever of theory. The inset display 3-D representations of the degenerate HOMO-2 and 3 with an isosurface contour value of 0.03

effect reduces the Gibbs free energies except for the reaction  $[(\text{Al}_3\text{N})\text{ZnZn}(\text{Al}_3\text{N})]^2^+ \rightarrow 2$   $[\text{Zn}(\text{Al}_3\text{N})]^+$ . For example, the  $\Delta_{r}G_{298K}$  of the reaction of  $[(\text{Al}_3\text{N})_2\text{K}]^{+} \rightarrow 2\text{Al}_3\text{N} + \text{K}^{+}$ is -0.1 kcal/mol in gas phase, while it decreases to  $-25.1$  kcal/mol in water and  $-28.5$  kcal/mol in dichloromethane, respectively, indicating that the solvents make  $[(\text{Al}_3\text{N})_2\text{K}]^+$  to dissociate more easily. The structure  $[(A1<sub>3</sub>N)ZnZn(A1<sub>3</sub>N)]<sup>2+</sup>$  is unstable either in gas phase or in solvents at room temperature. The dissociation  $[(\text{Al}_3\text{N})\text{ZnZn}(\text{Al}_3\text{N})]^2^+ \rightarrow [\text{Zn}_2(\text{Al}_3\text{N})]^2^+ + \text{Al}_3\text{N}$  occurs easily in solvents, while in gas phase the  $[(A1<sub>3</sub>N)ZnZ$  $n(Al_3N)^{2+}$  tends to dissociate into  $[Zn(Al_3N)]^+$  radicals. Although, the solvent effect significantly reduces the Gibbs free energy of the reaction  $[(Al_3N)Zn(C_5H_5)]^+ \rightarrow$  $(C_5H_5)^-$  +  $Zn^{2+}$  + Al<sub>3</sub>N from 473.3 to 65.1 kcal/mol and 155.5 kcal/mol in water and dichloromethane, respectively, the dissociation is difficult to occur thermodynamically. Thus, we propose to synthesize stable salts of  $[(\text{Al}_3\text{N})\text{Zn}(\text{C}_5\text{H}_5)]^+$  containing Al<sub>3</sub>N unit through the reverse reaction of the dissociation.

<span id="page-6-0"></span>**Table 3** The solvation energies  $(\Delta G_{solv}$ , kcal/mol) of  $D_{3d}$  [(Al<sub>3</sub>N)-<br>K(Al<sub>3</sub>N)]<sup>+</sup>,  $D_{3d}$  [(Al<sub>3</sub>N)ZnZn(Al<sub>3</sub>N)]<sup>2+</sup>, and  $C_s$  [(Al<sub>3</sub>N)Zn(C<sub>5</sub>H<sub>5</sub>)]<sup>+</sup> in water and dichloromethane, as well as the three components:

energies cavitation  $(\Lambda G - \text{kcal/mol})$ , van der Waals term  $(\Lambda G)$ 

21 1234 112131317 $(N)Zn(C_5H_5)^+$ omponents: free	encreased cavitation ( $\Delta U_{\text{cav}}$ , Keal/mor), van der waars term ( $\Delta U_{\text{vw}}$ , kcal/mol), and electrostatic ( $\Delta G_{\text{elec}}$ , kcal/mol)	



### 4 Summary

This study shows that the  $D_{3h}$  Al<sub>3</sub>N exhibits  $\pi$  aromaticity, and can be used as a ligand to construct three types of sandwich compounds, including traditional homo-decked sandwich, homo-decked binuclear sandwich, and heterodecked sandwich. The  $[(A1<sub>3</sub>N)K(A1<sub>3</sub>N)]<sup>+</sup>$ ,  $[(A1<sub>3</sub>N)ZnZ$  $n(Al_3N)$ <sup>2+</sup>, and  $[(Al_3N)Zn(C_5H_5)]^+$  structures were designed as examples of the three types of sandwich compounds. These structures were predicted to be local minima on their corresponding potential hypersurfaces at the B3LYP, B3PW91, and BP86 levels of theory with the  $6-311+G(d)$  basis set. In these designed sandwich complexes, the interaction between the  $Al<sub>3</sub>N$  ligand and metal atoms are primarily electrostatic. The stabilities of the predicted molecules were checked by fragmentation. In gas phase, the  $[(\text{Al}_3\text{N})_2\text{K}]^+$  and  $[(\text{Al}_3\text{N})\text{ZnZn}(\text{Al}_3\text{N})]^2^+$  species are unstable at room temperature, while the  $[(\text{Al}_3\text{N})\text{Zn}(\text{C}_5\text{H}_5)]^+$  is very stable. The favored dissociation products of  $[(Al_3N)ZnZn(Al_3N)]^{2+}$  in gas phase are  $[Zn(Al<sub>3</sub>N)]<sup>+</sup>$  radicals, which are of great interesting because it is a novel stable Zn(I) compound. The solvent effects for the thermodynamics of the fragmentation reactions were investigated in water and dichloromethane. In both selected solvents, the decomposition reaction of the  $[(A<sub>13</sub>N) K(Al<sub>3</sub>N)<sup>+</sup>$  to  $K<sup>+</sup>$  and  $Al<sub>3</sub>N$  is stronger than in gas phase. For the  $[(A1<sub>3</sub>N)ZnZn(A1<sub>3</sub>N)]<sup>2+</sup>$  species, the solvent effects appear to be unhelpful for stabilizing the sandwich structure, and the favored dissociation reaction is  $[(Al<sub>3</sub>N)ZnZ$  $n(\text{Al}_3\text{N})^2$ <sup>+</sup>  $\rightarrow$   $[\text{Zn}_2(\text{Al}_3\text{N})]^2$ <sup>+</sup> + Al<sub>3</sub>N. The  $\Delta_r G_{298K}$  for the dissociation reaction of  $[(\text{Al}_3\text{N})\text{Zn}(\text{C}_5\text{H}_5)]^+$  to  $(\text{C}_5\text{H}_5)^-$ ,  $\text{Zn}^{2+}$  and Al<sub>3</sub>N are 473.3 kcal/mol in gas phase, 65.1 kcal/mol in water, and 155.5 kcal/mol in dichloromethane, respectively, indicating that the reverse reaction, namely the synthesis of  $[(Al_3N)Zn(C_5H_5)]^+$ , occurs easily. To our knowledge, this paper firstly proposes  $D_{3h}$  Al<sub>3</sub>N could be used as a ligand in coordination chemistry. The designed species, especially the  $[(\text{Al}_3\text{N})\text{Zn}(\text{C}_5\text{H}_5)]^+$ , expect the experimental verification in future.

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